



Public Works Commission

Application for Financial Assistance

IMPORTANT: Please consult "Instructions for Financial Assistance for Capital Infrastructure Projects" for guidance in completion of this form.

Applicant	Applicant: _____	Subdivision Code: _____
	District Number: _____ County: _____	Date: _____
	Contact: _____ <small>(The individual who will be available during business hours and who can best answer or coordinate the response to questions)</small>	Phone: _____
	Email: _____	FAX: _____

Project	Project Name: _____	Zip Code: _____	
	Subdivision Type	Project Type	Funding Request Summary
	_____	<small>(Select single largest component by \$)</small>	<small>(Automatically populates from page 2)</small>
	SFN	1. Road	Total Project Cost: _____ .00
	_____	2. Bridge/Culvert	1. Grant: _____ .00
		3. Water Supply	2. Loan: _____ .00
	4. Wastewater	3. Loan Assistance/ Credit Enhancement: _____ .00	
	5. Solid Waste		
	6. Stormwater	Funding Requested: _____ .00	

District Recommendation (To be completed by the District Committee)

Funding Type Requested <small>(Select one)</small>	SCIP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
State Capital Improvement Program	RLP Loan - Rate: _____ % Term: _____ Yrs	Amount: _____ .00
Local Transportation Improvement Program	Grant:	Amount: _____ .00
Revolving Loan Program	LTIP:	Amount: _____ .00
Small Government Program	Loan Assistance / Credit Enhancement:	Amount: _____ .00
District SG Priority: _____		

For OPWC Use Only

STATUS	Grant Amount: _____ .00	Loan Type: <input type="checkbox"/> SCIP <input type="checkbox"/> RLP
Project Number: _____	Loan Amount: _____ .00	Date Construction End: _____
_____	Total Funding: _____ .00	Date Maturity: _____
Release Date: _____	Local Participation: _____ %	Rate: _____ %
OPWC Approval: _____	OPWC Participation: _____ %	Term: _____ Yrs

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services

Preliminary / Final Design: _____ .00
Construction Administration: _____ .00
Total Engineering Services: a.) _____ .00 _____ %
Right of Way: b.) _____ .00
Construction: c.) _____ .00
Permits, Advertising, Legal: e.) _____ .00
Construction Contingencies: f.) _____ .00
Total Estimated Costs: g.) _____ .00

1.2 Project Financial Resources

Local Resources

Local In-Kind or Force Account: a.) _____ .00
Local Revenues: b.) _____ .00
Other Public Revenues:
Local / ODOT - Let: _____ d.) _____ .00
ODOT PID: _____
OEPA / OWDA: e.) _____ .00
CDBG: f.) _____ .00
Other: _____ g.) _____ .00
Subtotal Local Resources: i.) _____ .00 _____ %

OPWC Funds (Check all requested and enter Amount)

Grant: _____ % of OPWC Funds j.) _____ .00
Loan: _____ % of OPWC Funds k.) _____ .00 _____ yrs
Loan Assistance / Credit Enhancement: l.) _____ .00
Subtotal OPWC Funds: m.) _____ .00 _____ %
Total Financial Resources: n.) _____ .00 _____ %

1.3 Availability of Local Funds

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local resources required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project New / Expansion: _____ .00

3.0 Project Schedule

3.1 Engineering / Design / Right of Way Begin Date: _____ End Date: _____

3.2 Bid Advertisement and Award Begin Date: _____ End Date: _____

3.3 Construction Begin Date: _____ End Date: _____

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: _____ Years Age: _____ (Year built or year of last major improvement)

Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate Current \$ _____ Number of households served: _____

Residential Wastewater Rate Current \$ _____ Number of households served: _____

Stormwater: Number of households served: _____

4.3 Project Description

A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 2000 character limit.

B: IDENTIFY THE PROBLEM (Describe the issue to be addressed) 2000 character limit.

C: PROJECT SCOPE (Describe the work to be completed) 2000 character limit.

D. How do you intend to promote this project? 1000 character limit.

E: Additional Notes From Applicant - 1000 character limit.

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer (Person authorized in legislation to sign project agreements)

Name: _____

Title: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

FAX: _____

E-Mail: _____

5.2 Chief Financial Officer (Can not also serve as CEO)

Name: _____

Title: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

FAX: _____

E-Mail: _____

5.3 Project Manager

Name: _____

Title: _____

Address: _____

City: _____ State: _____ Zip: _____

Phone: _____

FAX: _____

E-Mail: _____

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.

A certification signed by the applicant's chief financial officer stating the amount of all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.

A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.

A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.

Farmland Preservation Review - The Governor's Executive Order 98-IIV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.

Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.

Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Certifying Representative (Printed form, Type or Print Name and Title)

Original Signature / Date Signed

BY..... Mr. Mims NO..... 6740-23

A RESOLUTION

Approving the Submission of Grant Applications to the District 4 (Montgomery County, Ohio) Public Works Commission Integrating Committee; Authorizing the Acceptance of Grant Awards from the State of Ohio Public Works Commission for the State Issue 1 Program for Public Capital Infrastructure Improvements in an Amount Not to Exceed Three Million Fifty-Five Thousand Dollars and Zero Cents (\$3,055,000.00) on Behalf of the City of Dayton.

WHEREAS, The voters of Ohio Passed Issue 1 authorizing the State of Ohio to issue bonds for the purpose of financing or assisting local governments in financing Public Infrastructure Capital Improvements; and

WHEREAS, The General Assembly passed Amended Substitute House Bill 381 in June of 1989, which among other sections amended Section 164.05 and added Section 164.14 to the Ohio Revised Code, thereby creating a Local Transportation Improvement Fund to be administered through the District Public Works Integrating Committees in a manner similar to the Issue 1 Program, expenditures from said fund being limited to roads, bridges, and other public infrastructure improvements; and

WHEREAS, The City of Dayton has been notified that Thirteen Million Three Hundred Sixty-One Thousand Dollars and Zero Cents (\$13,361,000.00) will be available to the jurisdictions within the area covered by the District 4 Public Works Integrating Committee in total for the fiscal year 2025; and

WHEREAS, The City's request is based upon an analysis of unmet infrastructure needs and contingent upon an approved grant application submitted to the District 4 Public Works Integrating Committee; and

WHEREAS, Participation in these programs requires the availability of matching funds as spelled out in the application to abide by all procedures as noted in the Standing Rules with matching funds of proposed projects not to exceed Eleven Million Three Hundred Seventy Thousand Dollars and Zero Cents (\$11,370,000.00) in order to secure Three Million Fifty-Five Thousand and Zero Cents (\$3,055,000.00) grant funding to cover fiscal year 2025; and

WHEREAS, The City of Dayton is located within the area covered by the District 4 Public Works Integrating Committee and is entitled to apply singly or jointly for these funds for necessary infrastructure improvements; and

WHEREAS, Section 36.10 of the Revised Code of General Ordinances of the City of Dayton authorizes the City Manager to submit grant applications on behalf of the City of Dayton; now, therefore,

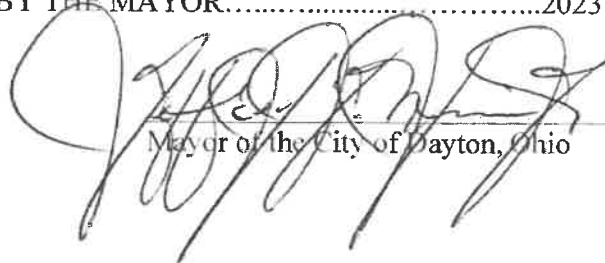
BE IT RESOLVED BY THE COMMISSION OF THE CITY OF DAYTON:

Section 1. That this Commission approves the City Manager's submission of a grant application and supporting documents to the District 4 Public Works Integrating Committee for participation in the State Issue 1 Program for eligible Public Infrastructure Capital Improvements defined as the acquisition, construction, reconstruction, improvement, planning and equipping of roads and bridges, wastewater treatment systems, water supply systems, solid waste disposal facilities, flood control systems, and storm water and sanitary collection, storage, and treatment facilities, including real property, interests in real property, facilities, and equipment related or incidental to those facilities.

Section 2. That the City Manager is authorized to execute any and all documents and agreements on behalf of the City of Dayton, which are necessary to accept grant awards in an amount not to exceed Three Million Fifty-Five Thousand and Zero Cents (\$3,055,000.00) from the State of Ohio Public Works Commission under the State Issue 1 Program for eligible Public Infrastructure Capital Improvements.

ADOPTED BY THE COMMISSION..... August 9 ..2023

SIGNED BY THE MAYOR..... August 9 ..2023



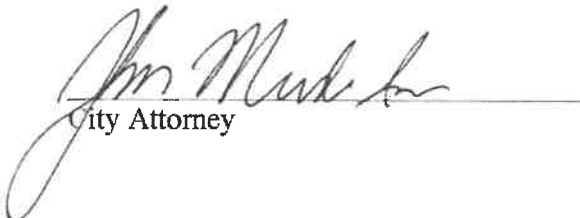
Mayor of the City of Dayton, Ohio

ATTEST:



Clerk of the Commission

APPROVED AS TO FORM:



City Attorney

CERTIFICATE OF CLERK OF THE COMMISSION

STATE OF OHIO,
COUNTY OF MONTGOMERY, SS:
CITY OF DAYTON.

The undersigned, Clerk of the Commission of said City, hereby certifies that the foregoing is a true and correct copy of Resolution No: 6740-23 passed by the Commission of said City August 9, 2023.

In Testimony Whereof, witness my hand and official seal, this 11th day of August, 2023


Clerk of the Commission of the City of Dayton, Ohio

CERTIFICATION OF LOCAL FUNDS

August 14, 2023

I, Director of Finance of the City of Dayton, hereby certify that the City of Dayton will have the amount of \$2,000,000.00 in the Findlay Street Storm Relief account and that this amount will be used to pay the local share for the Findlay Street Storm Relief project when it is required.



Kena Brown, Director of Finance

Findlay Street Storm Relief

ESTIMATE OF COST

ITEM NO.	DESCRIPTION	QUANTITY	UNIT	UNIT PRICE	TOTAL
304	Aggregate Base	50	C.Y.	\$ 50.00	\$ 2,500.00
305	Concrete Base, Fast Set, Class MS	25	S.Y.	\$ 52.00	\$ 1,300.00
609	Barrier Curb	50	L.F.	\$ 25.00	\$ 1,250.00
653	Topsoil Furnished and Placed, 4"	25	C.Y.	\$ 40.00	\$ 1,000.00
810	Excavation and Backfill	50	C.Y.	\$ 55.00	\$ 2,750.00
830	Manhole, Remove/Replace Cone	2	EA.	\$ 2,505.00	\$ 5,010.00
SP-1	Liner, 36"	2535	L.F.	\$ 650.00	\$ 1,647,750.00
SP-2	Service Connections	18	EA.	\$ 90.00	\$ 1,620.00
SP-3	Bypass Pumping	1	L.S.	\$ 50,000.00	\$ 50,000.00
SP-4	Hydraulic Cleaning	2535	L.F.	\$ 20.00	\$ 50,700.00
SP-5	Mechanical Cleaning	2535	L.F.	\$ 10.00	\$ 25,350.00
SP-6	Video Inspection	2535	L.F.	\$ 2.00	\$ 5,070.00
SP-7	Invert Repair	500	EA.	\$ 170.00	\$ 85,000.00
SP-8	Pre-Liner	2535	L.F.	\$ 20.00	\$ 50,700.00
SP-9	Sluice Gate Removal	1	EA.	\$ 70,000.00	\$ 70,000.00
Subtotal					\$ 2,000,000.00
ALT	Contingency Allowance	1	LUMP	\$ 200,000.00	\$ 200,000.00
TOTAL					\$ 2,200,000.00



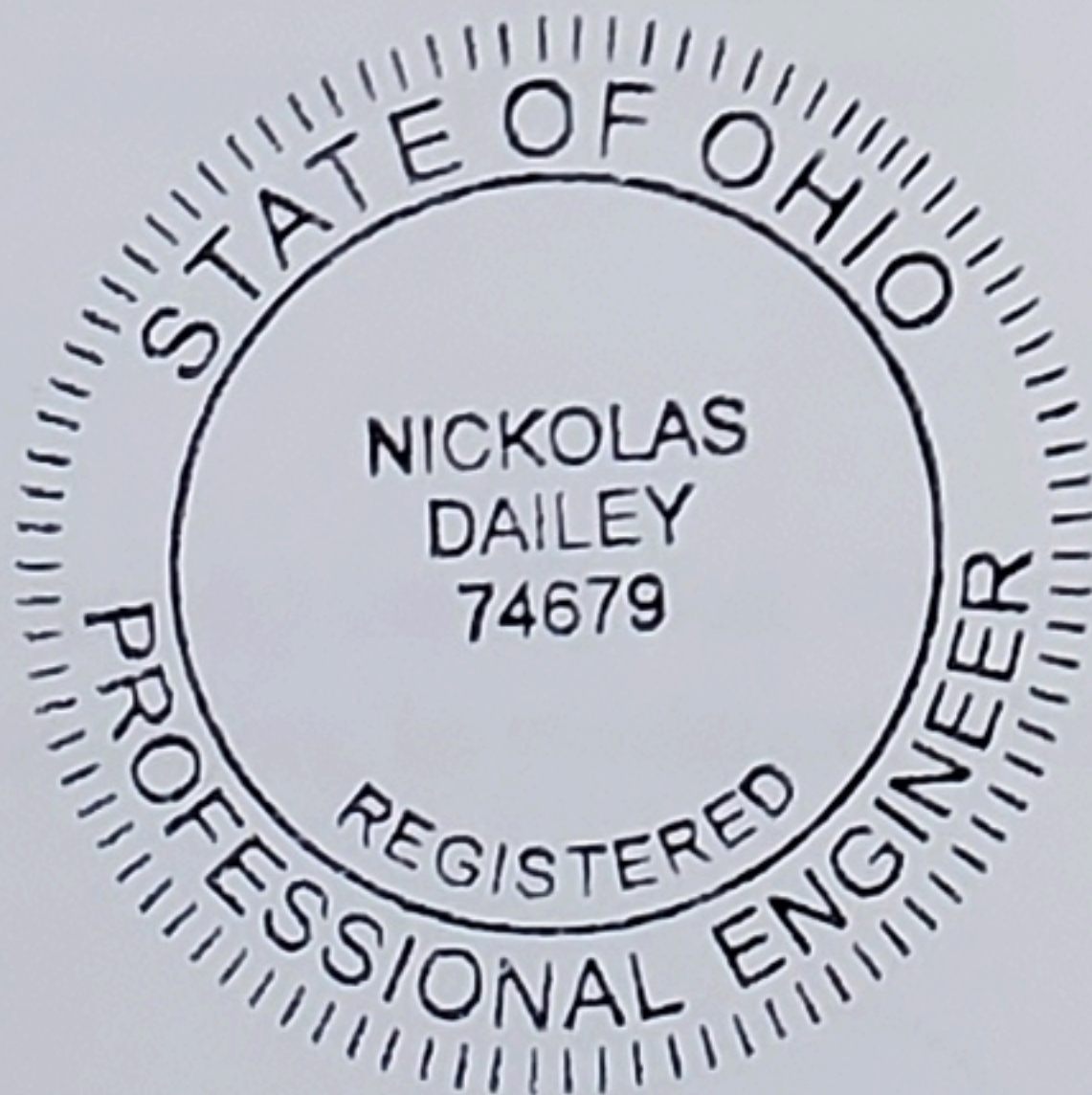
Nick Dailey, Chief Engineer

Division of Water Engineering

Ohio Engineer's License Serial No. 74679

8/17/2023

Date



Findlay Street Storm Relief

Weighted Useful Life & Design Service Capacity Calculations

Major Component	Cost (\$1,000)	Portion Repair / Replacement (%)	Repair / Replace Product	Useful Life (Years)	Useful Life Product
Full-depth road construction w/ drainage				25	
Full-depth road construction w/o drainage				25	
Partial-depth road construction w/ drainage				15	
Partial-depth road construction w/o drainage				15	
Storm Sewers	2200	100	220000	40	88000
Sanitary Sewers				40	
Water Lines				40	
Bridge				75	
Pumps, Lift Stations				15	
Sidewalks				25	
Bike Facility				7	

Totals	2200		220000		88000
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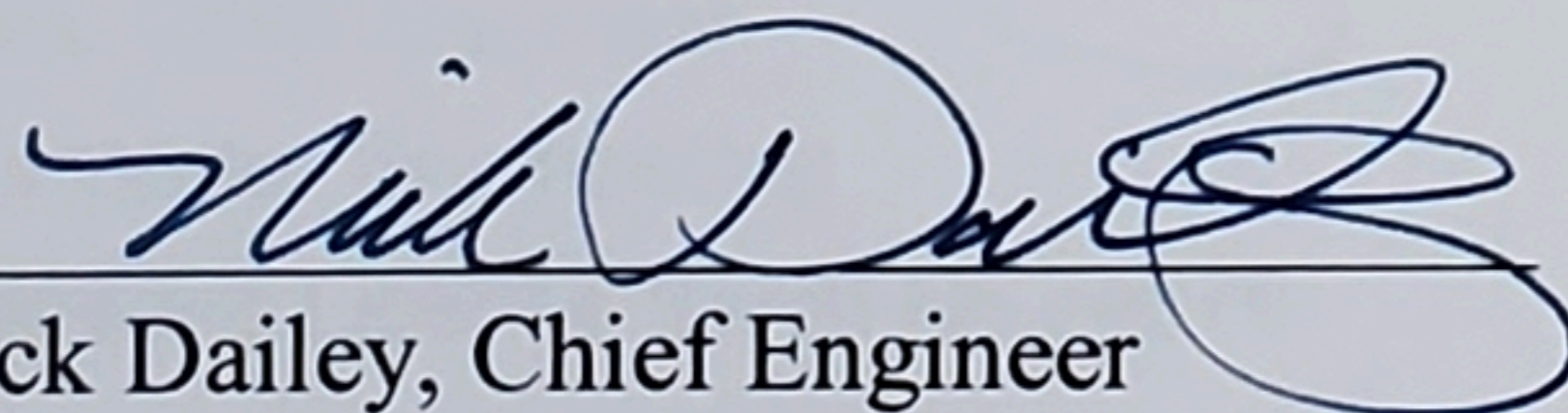
Weighted Useful Life: 40.0 Years

Design Service Capacity (Project Application, Section 2.0):

Portion Repair / Replace 100 %
 Portion New / Expansion %

USEFUL LIFE CERTIFICATION

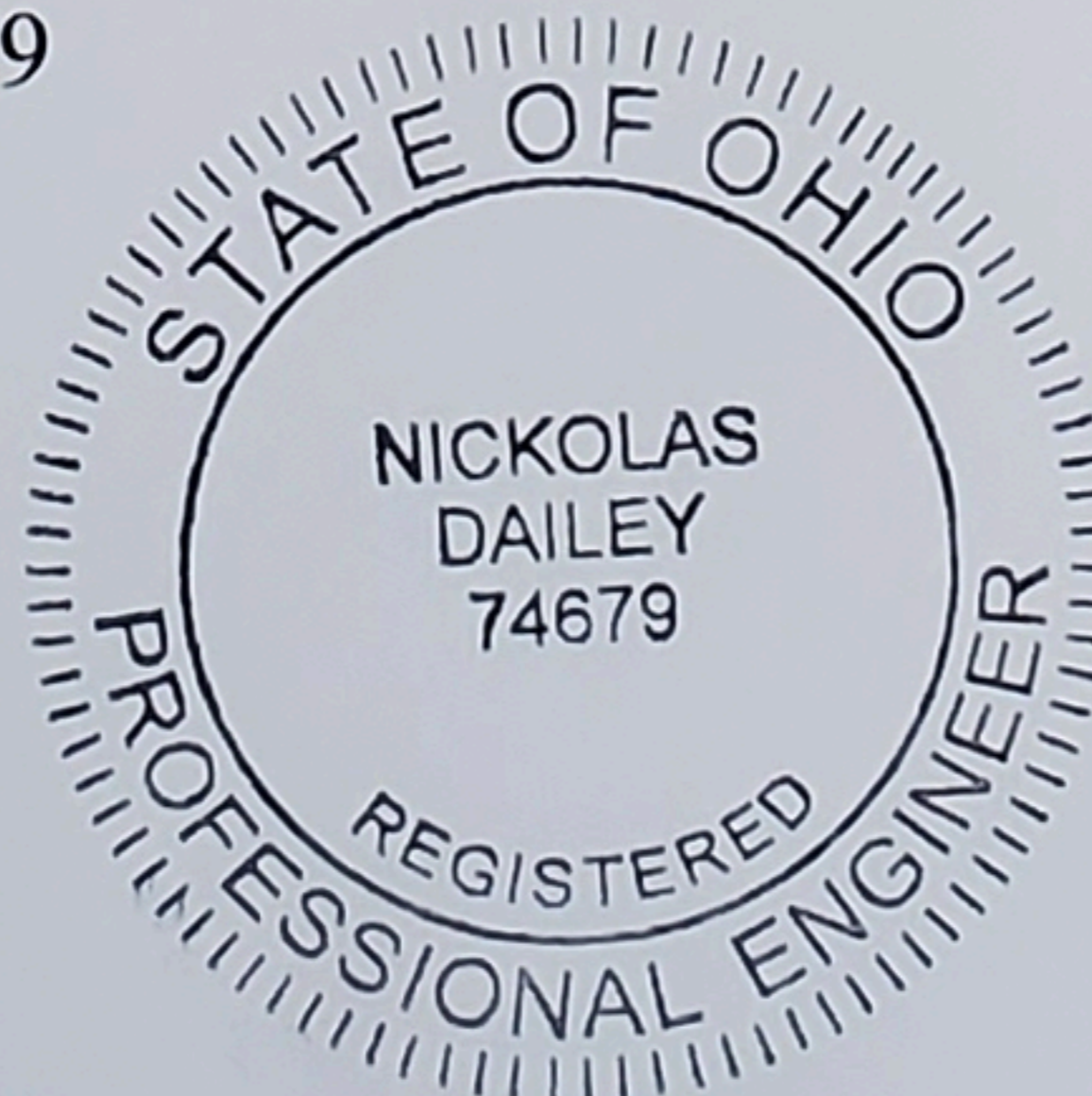
I hereby certify that this project has an expected useful life of normal usage in this specific situation; in evidence, whereof, I have set my signature and seal as of this date.



Nick Dailey, Chief Engineer
 Division of Water Engineering
 Ohio Engineer's License Serial No.74679

8/17/2023

Date



OHIO PUBLIC WORKS COMMISSION

DISTRICT 4

FY25 Supplemental Questionnaire

Applicant: City of Dayton

Project Title: Findlay Street Storm Relief

Application Summary:

Briefly describe the project:

The existing 36" pipe is aging and deteriorating. CCTV video indicates debris is trapped behind the partially closed sluice gate located just south of Monument Avenue. CCTV video also indicates some of the invert of the pipe is missing. The project will clean the pipe, repair inverts, and remove the sluice gate and debris.

Priority:

Is this application your priority project? (Circle One)	
Yes <input type="radio"/>	No <input checked="" type="radio"/>

Generation of Revenue:

Will new user fees or assessments be assessed as part of this project? (Circle One)	
Yes <input type="radio"/>	No <input checked="" type="radio"/>
What will the new user fees or assessments be used for?	
N/A	

Additional Funding:

Will OPWC match, in part, a committed grant or loan? (Circle One)	
Yes <input type="radio"/>	No <input checked="" type="radio"/>
If no, was the project submitted to an appropriate agency for funding, but denied due to lack of funding? (Circle One)	
Yes – Appropriate Documentation Attached <input type="radio"/>	No <input checked="" type="radio"/>

Readiness of Project:

Will this project be <u>substantially</u> underway on or before June 1, 2025? (Circle One)	
Yes <input checked="" type="radio"/>	No <input type="radio"/>

Health & Safety:

Describe the specific health or safety issue being addressed by this project. What deficiency or condition is causing the health or safety issue?
<p>The most critical issue is the blockage created by the partially closed sluice gate. The sluice gate is approximately 60% closed, however, large debris has piled up behind the gate, blocking it almost completely (See Figure 4). This prevents the storm sewer from operating at its design capacity.</p> <p>In addition, the CCTV video indicates stagnant water due to poor drainage of the pipe, cracks in the pipe (see Figure 5) and locations where pipe is missing at the invert of the pipe. The entire stretch of pipe between First Street and Monument Avenue has several inches of gravel deposits. There is also deterioration of the crown in some areas.</p>

Addresses District Infrastructure Needs:

Is this project located in more than one community? (Circle One)		
Yes <input type="radio"/>		No <input checked="" type="radio"/>
What percentage of the community will be served by this project? (Circle One)		
Less than 25% <input checked="" type="radio"/>	25% to 40% <input type="radio"/>	More than 40% <input type="radio"/>

Economic Development

How many jobs are being created as a result of this project?	0
How many jobs will be retained as a result of this project?	0
Why is it necessary to fund this improvement to secure this development?	
N/A	
What type of industry is proposed in this development?	
N/A	

Relieve Existing Traffic Congestion:

What is the level of service?	N/A
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Other Factors

What other factors exist that make this project more important than other like projects?

This 36" storm pipe is displaying extreme deterioration. It is located under the sidewalk, within 5' of some buildings. A failure could damage businesses.

In addition, with a partially blocked sluice gate, flooding is a concern. The drainage area includes businesses on Findlay Street as well as Springfield Street to the East of First Street.

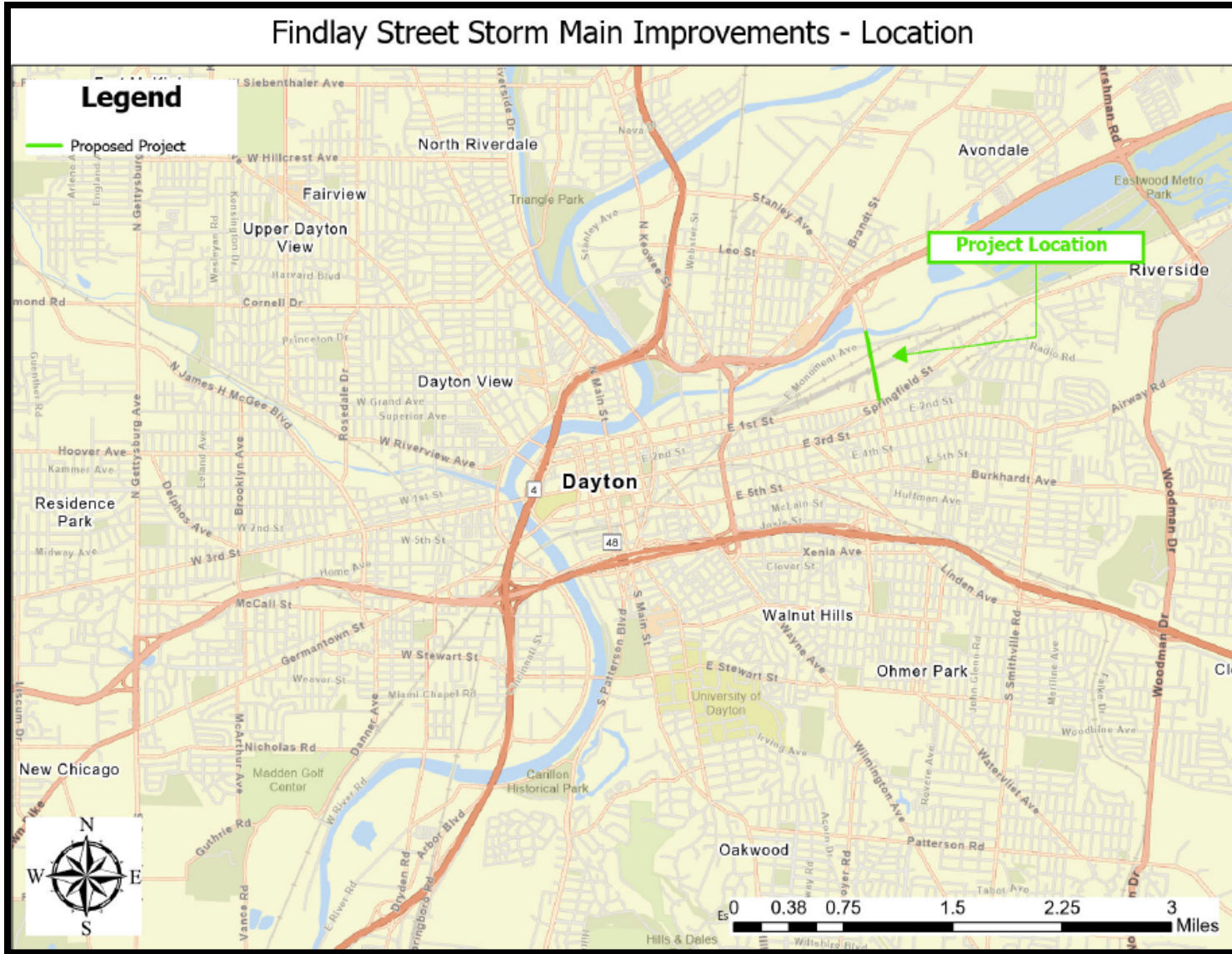


Figure 1- Findlay Street Storm Relief Location Map

Findlay Street Storm Main Improvements - Project Limits

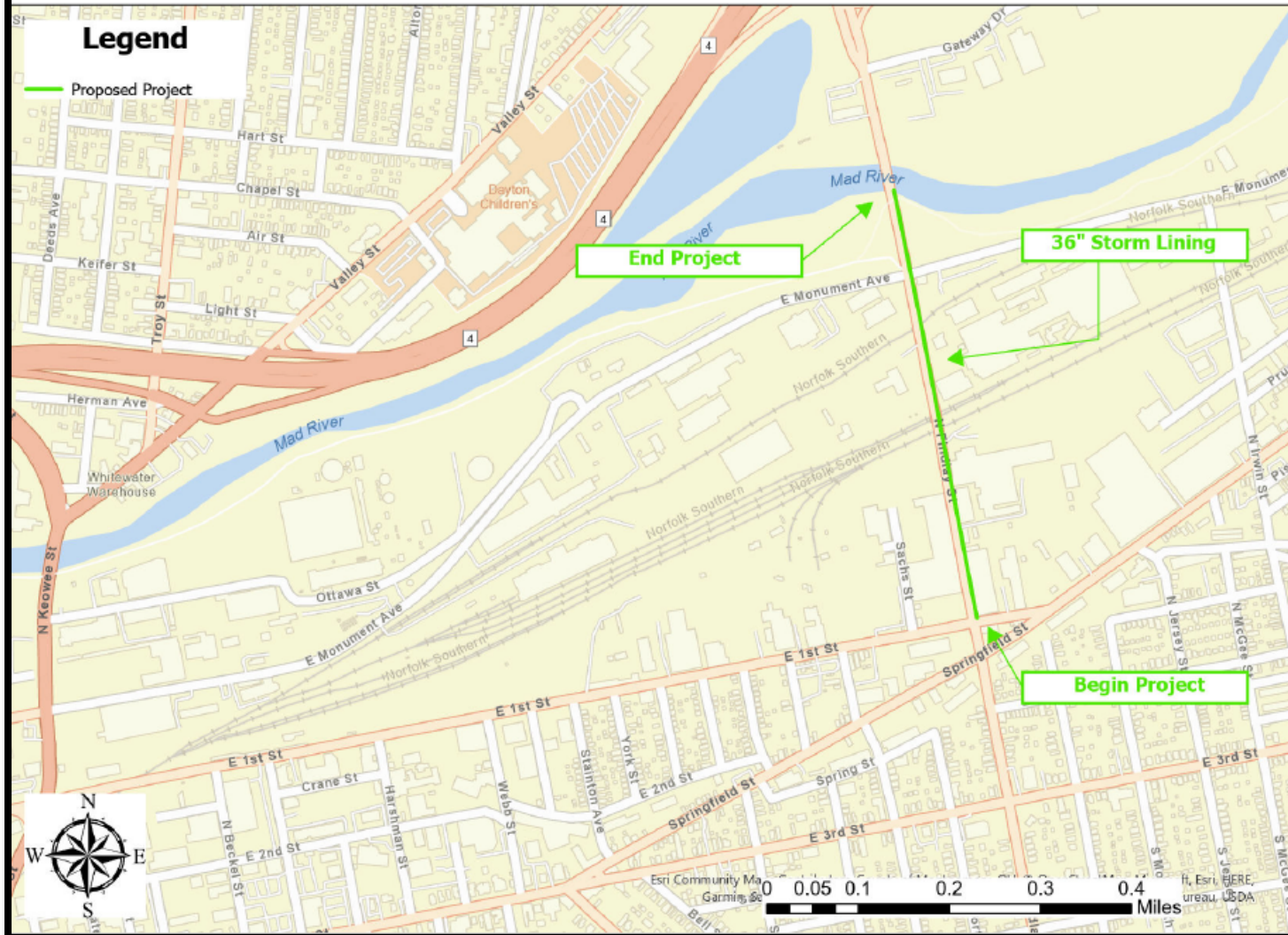


Figure 2-Findlay Street Storm Relief Project Limits

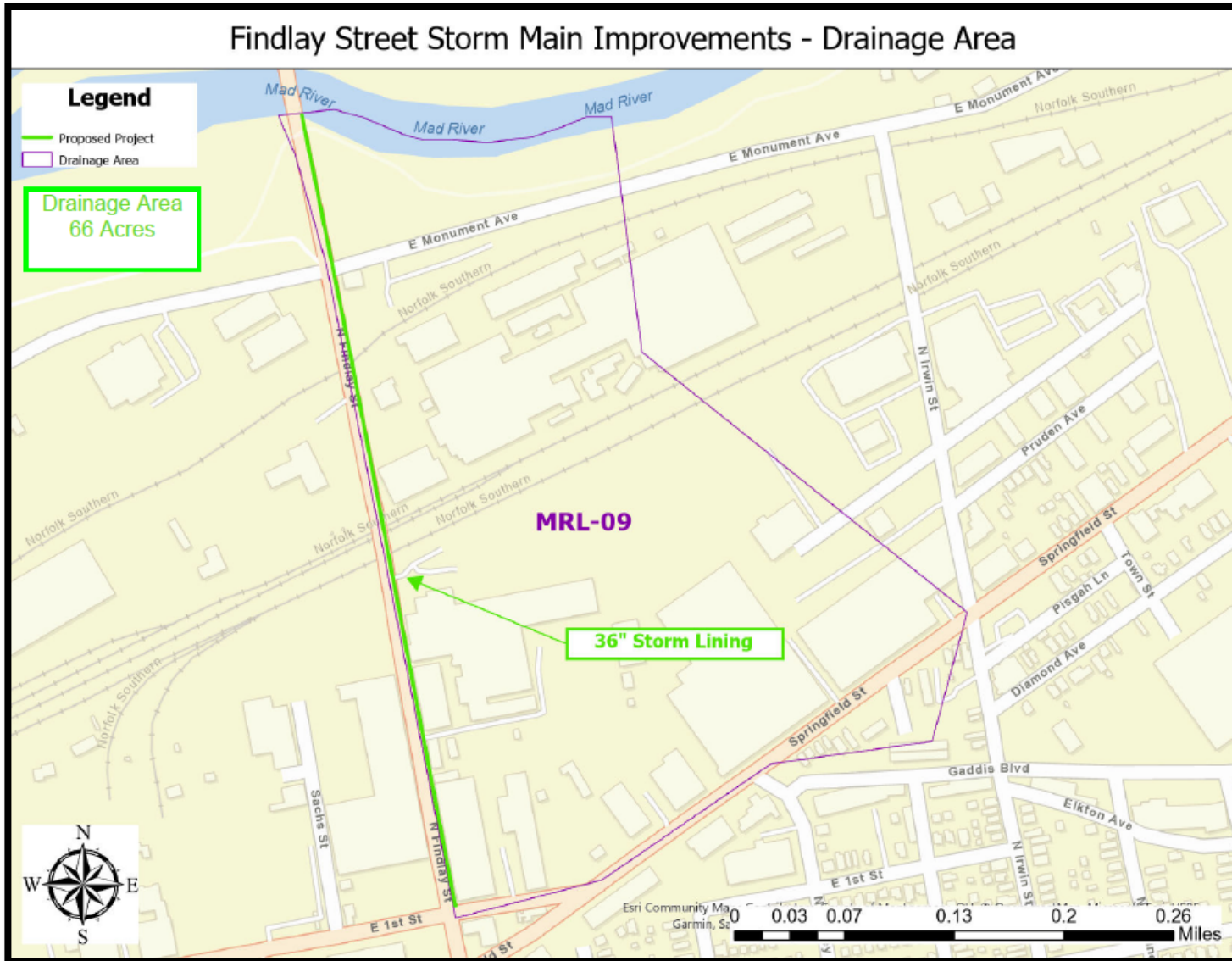


Figure 3-Findlay Street Storm Relief Drainage Area



Figure 4 - Jammed sluice gate with rock blockage



Figure 5 - Crack in 36" pipe between Manholes 30002 and J10ST49491