

Public Works Commission

Application for Financial Assistance

IMPC	RTANT: Please consult "Instructions for F	Financial Assistance for Capital In	frastructure Projects" for gu	uidance in completi	on of this form.
	Applicant: <u>City of Dayton</u>		Subdivisio	on Code: <u>113-21000</u>	P
Applicant	District Number: 4 County:	<u></u>	Date:08/29/2022	2	
Appl	Contact: <u>Nick Dailey</u> (The individual who will be available during l	business hours and who can best answer or ca	pordinate the response to questions)	Phone: (937) 333-	1839
	Email: <u>nick.dailey@daytonohio.gov</u>			FAX:	
	Project Name: Findlay Street MRL-08 Outfall	Improvements	1	Zip Code:	45402
	Subdivision Type	Project Type	Funding	Request Summa	гу
+		(Select single largest component by \$)	(Automatically populates fr	rom page 2)	
Project	City	1. Road	Total Project Cost:		470,000 .00
Pro		2. Bridge/Culvert	1. Grant:	,	200,000 .00
		3. Water Supply	2. Loan:	1 ⁻¹ 1 14	00. 0
		 Wastewater Solid Waste 	3. Loan Assista Credit Enha		00. 0
		x 6. Stormwater	Funding Requested:		200,000 .00
D	istrict Recommendation	(To be completed by the District	Committee)		
(Se	Funding Type Requested	. SCIP Loan - Rate:	_% Term: Yrs 4	Amount:	.00
	State Capital Improvement Program	RLP Loan - Rate:	% Term: Yrs #	Amount:	.00
	Local Transportation Improvement Program	Grant:	,	Amount:	.00
	Revolving Loan Program Small Government Program	LTIP:	,	Amount:	.00
	District SG Priority:	Loan Assistance / Credi	t Enhancement:	Amount:	.00
Fo	or OPWC Use Only				
	STATUS	Grant Amount:	00 Loan Ty	vpe: 🗌 SCIP	RLP
Proje	ect Number:	Loan Amount:	.00 Date Co	onstruction End: _	
		Total Funding:		aturity:	
Rele	ase Date:	Local Participation:	% Rate:	%	
OPV	/C Approval:	OPWC Participation:	% Term:	Yrs	

1.0 Project Financial Information (All Costs Rounded to Nearest Dollar)

1.1 Project Estimated Costs

Engineering Services				
Preliminary / Final Design:0	.00			
Construction Administration:0	.00			
Total Engineering Services:	a.)	<u> </u>	.00	%
Right of Way:	b.)	<u> </u>	.00	
Construction:	c.)	430,000	.00	
Permits, Advertising, Legal:	e.)	0 .	.00	
Construction Contingencies:	f.)	40,000	.00	
Total Estimated Costs:	g.)	470,000	.00	
1.2 Project Financial Resources				
Local Resources				
Local In-Kind or Force Account:	a.)		.00	
Local Revenues:	b.)	270,000	.00	
Other Public Revenues:				
Local / ODOT - Let:	d.)		.00	
OEPA / OWDA:	e.)	0	00	
CDBG:	f.)	•	.00	
Other:	g.)	0.	00	
Subtotal Local Resources:	i.)	270,000	00	<u> </u>
OPWC Funds (Check all requested and enter Amount)				
Grant:% of OPWC Funds	j.)	200,000	00	
Loan:0% of OPWC Funds	k.)		00	yrs
Loan Assistance / Credit Enhancement:	l.)	<u> </u>	00	
Subtotal OPWC Funds:	m.)	200,000	00	<u> 42.6</u> %
Total Financial Resources:	n.)	470,000	00	%

1.3 Availability of Local Funds

Attach a statement signed by the <u>Chief Financial Officer</u> listed in section 5.2 certifying <u>all local</u> <u>resources</u> required for the project will be available on or before the earliest date listed in the Project Schedule section. The OPWC Agreement will not be released until the local resources are certified. Failure to meet local share may result in termination of the project. Applicant needs to provide written confirmation for funds coming from other funding sources.

2.0 Repair / Replacement or New / Expansion

2.1 Total Portion of Project New / Expansion: ______0.00

3.0 Project Schedule

3.1 Engineering / Design / Right of Way	Begin Date:	07/01/2022	End Date:	10/31/2023
3.2 Bid Advertisement and Award	Begin Date:	11/01/2023	End Date:	12/31/2023
3.3 Construction	Begin Date:	01/01/2024	End Date:	12/31/2024

Construction cannot begin prior to release of executed Project Agreement and issuance of Notice to Proceed.

Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by project official of record and approved by the Commission once the Project Agreement has been executed.

4.0 Project Information

If the project is multi-jurisdictional, information must be consolidated in this section.

4.1 Useful Life / Cost Estimate / Age of Infrastructure

Project Useful Life: _____40 Years Age: _____¹⁹⁵⁵ (Year built or year of last major improvement) Attach Registered Professional Engineer's statement, with seal or stamp and signature confirming the project's useful life indicated above and detailed cost estimate.

4.2 User Information

Road or Bridge: Current ADT _____ Year _____

Water / Wastewater: Based on monthly usage of 4,500 gallons per household; attach current ordinances.

Residential Water Rate	Current \$0	Number of households served:
Residential Wastewater Rate	Current \$o	Number of households served:

Number of households served:_____

Stormwater:

4.3 Project Description

A: SPECIFIC LOCATION (Supply a written location description that includes the project termini; a map does not replace this requirement.) 2000 character limit.

The Findlay Street MRL-08 Outfall discharges to the Mad River, east of downtown Dayton, Ohio. The outfall is north of Monument Avenue, and 100 feet west of N. Findlay Street. (See Figure 1)

The project will take place at the existing structure. (See Figure 2)

B: IDENTIFY THE PROBLEM (Describe the issue to be addressed) 2000 character limit.

The project will rehabilitate the existing Findlay Street MRL-08 Outfall. It will include a new foundation where necessary, a new base slab, retrofit of the wing walls and retaining walls, and extension of wing walls toward the Mad River. Demolition of some existing items will also be part of the project.

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The existing outfall includes 200 feet of headwalls and wing walls from 5 to 8 feet tall, 68 lineal feet of concrete revetment, and a 2800 square foot concrete channel, that has mostly eroded and washed away. The existing 84 inch storm pipe will be replace only as needed to make repairs to the headwalls. The existing headwalls and wing walls, revetment, concrete channel and foundations will be repaired or replaced as needed.

The area drained by this outfall is 278 acres (see Figure 3).

5.0 Project Officials

Changes in Project Officials must be submitted in writing from an officer of record.

5.1 Chief Executive Officer

(Person authorized in legislation to sign project agreements)

	Name:	Shelley Dickstein		
	Title:	City Manager		
	Address:	101 West Third Street P.O. Box 22		
	City:	Dayton	State: OH	_ Zip: <u>45402</u>
	Phone:	937-333-3600		
	FAX:		······	
	E-Mail:	shelley.dickstein@daytonohio.gov		
5.2 Chief Financial Officer	(Can not a	also serve as CEO)		
	Name:	Robbi Stivers		
	Title:	Director of Finance		
	Address:	10 West Third Street		
	City:	Dayton	_ State: ^{OH}	Zip: <u>45402</u>
	Phone:	937-333-3576		
	FAX:	9373332222		
	E-Mail:	robbi.stivers@daytonohio.gov	·· ·· ·· ··	
5.3 Project Manager				
	Name:	Nick Dailey		
	Title:	Chief Engineer		
	Address:	320 W. Monument Avenue		
	City:	Dayton	_ State: ^{OH}	_ Zip: <u>45402</u>
	Phone:	(937) 333-1839		
	FAX:			
	E-Mail:	nick.dailey@daytonohio.gov		

6.0 Attachments / Completeness review

Confirm in the boxes below that each item listed is attached (Check each box)

- X A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- X A certification signed by the applicant's chief financial officer stating the amount of <u>all local share</u> funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- X A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's seal or stamp and signature.

A cooperative agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.

Farmland Preservation Review - The Governor's Executive Order 98-IIV, "Ohio Farmland Protection Policy" requires the Commission to establish guidelines on how it will take protection of productive agricultural and grazing land into account in its funding decision making process. Please include a Farm Land Preservation statement for projects that have an impact on farmland.

Capital Improvements Report. CIR Required by O.R.C. Chapter 164.06 on standard form.

X Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your local District Public Works Integrating Committee.

7.0 Applicant Certification

The undersigned certifies: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission as identified in the attached legislation; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement for this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding from the project.

Certifying Representative (Printed form, Type or Print Name and Title)

Original Signature / Date Signed

CERTIFICATE OF CLERK OF THE COMMISSION

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STATE OF OHIO, COUNTY OF MONTGOMERY, SS: CITY OF DAYTON.

The undersigned, Clerk of the C	Commission of said City, hereby certifies that the foregoing
is a true and correct copy of	Kesphition 6659-22
passed by the Commission of said City	AUGUST 17, 2022.
In Testimony Whereof, witness	my hand and official seal, this 294K
day of <u>AUGUST</u>	20 Regua DBlackoloon Classof the Commission of the City of Dayton, Ohio

A RESOLUTION

Approving the Submission of Grant Applications to the District 4 (Montgomery County, Ohio) Public Works Commission Integrating Committee; Authorizing the Acceptance of Grant Awards from the State of Ohio Public Works Commission for the State Issue 1 Program for Public Capital Infrastructure Improvements in an Amount Not to Exceed Three Million Eight Hundred Twenty Thousand Three Hundred Ninety Dollars and Zero Cents (\$3,820,390.00) on Behalf of the City of Dayton.

WHEREAS, The voters of Ohio Passed Issue 1 authorizing the State of Ohio to issue bonds for the purpose of financing or assisting local governments in financing Public Infrastructure Capital Improvements; and

WHEREAS, The General Assembly passed Amended Substitute House Bill 381 in June of 1989, which among other sections amended Section 164.05 and added Section 164.14 to the Ohio Revised Code, thereby creating a Local Transportation Improvement Fund to be administered through the District Public Works Integrating Committees in a manner similar to the Issue 1 Program, expenditures from said fund being limited to roads, bridges, and other public infrastructure improvements; and

WHEREAS, The City of Dayton has been notified that Twelve Million Seven Hundred Ninety-One Thousand Dollars and Zero Cents (\$12,791,000.00) will be available to the jurisdictions within the area covered by the District 4 Public Works Integrating Committee in total for the fiscal year 2024; and

WHEREAS, The City's request is based upon an analysis of unmet infrastructure needs and contingent upon an approved grant application submitted to the District 4 Public Works Integrating Committee; and

WHEREAS, Participation in these programs requires the availability of matching funds as spelled out in the application to abide by all procedures as noted in the Standing Rules with matching funds of proposed projects not to exceed Seven Million Six Hundred Seventy-Nine Thousand Six Hundred Ten Dollars and Zero Cents (\$7,679,610.00) in order to secure Three Million Eight Hundred Twenty Thousand Three Hundred Ninety Dollars and Zero Cents (\$3,820,390.00) grant funding to cover fiscal year 2024; and

WHEREAS, The City of Dayton is located within the area covered by the District 4 Public Works Integrating Committee and is entitled to apply singly or jointly for these funds for necessary infrastructure improvements; and

WHEREAS, Section 36.10 of the Revised Code of General Ordinances of the City of Dayton authorizes the City Manager to submit grant applications on behalf of the City of Dayton; now therefore,

BE IT RESOLVED BY THE COMMISSION OF THE CITY OF DAYTON:

SECTION 1. That this Commission approves the City Manager's submission of a grant application and supporting documents to the District 4 Public Works Integrating Committee for participation in the State Issue 1 Program for eligible Public Infrastructure Capital Improvements defined as the acquisition, construction, reconstruction, improvement, planning and equipping of roads and bridges, wastewater treatment systems, water supply systems, solid waste disposal facilities, flood control systems, and storm water and sanitary collection, storage, and treatment facilities, including real property, interests in real property, facilities, and equipment related or incidental to those facilities.

SECTION 2. That the City Manager is authorized to execute any and all documents and agreements on behalf of the City of Dayton, which are necessary to accept grant awards in an amount not to exceed Three Million Eight Hundred Twenty Thousand Three Hundred Ninety Dollars and Zero Cents (\$3,820,390.00) from the State of Ohio Public Works Commission under the State Issue 1 Program for eligible Public Infrastructure Capital Improvements.

ADOPTED BY THE COMMISSION. August. 17...2022

Ohio Daytor

ATTEST:

e #

Clerk of the Commission

APPROVED AS TO FORM:

und for Attorney

CERTIFICATION OF LOCAL FUNDS

August 24, 2022

I, Director of Finance of the City of Dayton, hereby certify that the City of Dayton will have the amount of \$270,000.00 in the Findlay Street MRL-08 Outfall Improvements account and that this amount will be used to pay the local share for the Findlay Street MRL-08 Outfall Improvements project when it is required.

the

Robbi Stivers, Director of Finance

Findlay Street MRL-08 Outfall Improvements

ESTIMATE OF COST

ITEM NO.	DESCRIPTION	QUANTITY	UNIT	U	NIT PRICE		TOTAL
						_	
201	Clearing and Grubbing	1	LUMP	\$	3,225.00	-	3,225.00
202	Pipe Removed, 84", Concrete	10	L.F.	\$	250.00	\$	2,500.00
202	Removal of Structure (Headwall)	1	LUMP	\$	40,000.00	\$	40,000.00
202	Structure Removed (Concrete Foundation)	50	C.Y.	\$	180.00	\$	9,000.00
203	Excavation Not Including Embankment Construction	600	C.Y.	\$	50.00	\$	30,000.00
207	Temporary Soil Erosion and Sediment Control	1	LUMP	\$	5,000.00	\$	5,000.00
304	Aggregate Base	10	C.Y.	\$	130.00	\$	1,300.00
305	Concrete Base (ODOT 499, Class FS)	155	S.Y.	\$	95.00	\$	14,725.00
441	Asphalt Concrete	10	TONS	\$	150.00	\$	1,500.00
601	Rock Channel Protection, Type A with Filter	10	C.Y.	\$	150.00	\$	1,500.00
614	Maintaining Traffic	1	LUMP	\$	10,000.00	\$	10,000.00
615	Low Strength Mortar Backfill, Type 1	40	C.Y.	\$	160.00	\$	6,400.00
623	Construction Layout Stakes	1	LUMP	\$	10,000.00	\$	10,000.00
653	Topsoil Furnished and Placed, 4"	60	C.Y.	\$	100.00	\$	6,000.0
659	Seeding and Mulching (Hydro-Seed)	550	S.Y.	\$	10.00	\$	5,500.00
810	MCD Construction Permit	1	EA.	\$	500.00	\$	500.00
810	Excavation and Backfill for 84" Storm Pipe with Structural Backfill	10	L.F.	\$	350.00	\$	3,500.00
821	Reinforced Concrete Pipe 84" (Storm)	10	L.F.	\$	1,000.00	\$	10,000.00
832	Headwall & Wingwall Replacement	1	LUMP	\$	150,000.00	\$	150,000.00
SP-1	Bypass Pumping	1	LUMP	\$	40,000.00	\$	40,000.0
SP-2	Misc. Concrete Repair, MRL-08	1	LUMP	\$	60,000.00	\$	60,000.0
SP-3	Slope Revetment	43	S.Y.	\$	450.00	\$	19,350.0
	Subt	otal				\$	430,000.0
ALT	Contingency Allowance (10)%) 1	LUMP	\$	40,000.00	\$	40,000.0

TOTAL

Mulits Ding 8/19/2022

Signature



\$

470,000.00

Findlay Street MRL-08 Outfall Improvements Application

This spreadsheet has formulas to make a weighted useful life calculation and is populated with an example for illustrative purposes. Items can be added to column a.

Weighted Useful Life & Design Service Capacity Calculations

Major Component	Cost (\$1,000)	Portion Repair / Replacement (%)	Repair / Replace Product	Useful Life (Years)	Useful Life Product
Full-depth road construction w/ drainage Full-depth road construction				25	
w/o drainage Partial-depth road				25	
construction w/ drainage Partial-depth road				15	
construction w/o drainage Storm Sewers Sanitary Sewers Water Lines Bridge Pumps, Lift Stations Sidewalks Bike Facility	470	100	47000	15 40 40 75 15 25 7	18800

Totals	470	47000	18800

Weighted Useful Life:

40.0 Years

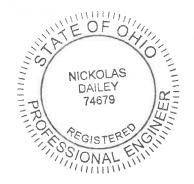
100 %

%

Design Service Capacity (Project Application, Section 2.0):

Portion Repair / Replace Portion New / Expansion

ulows Signature



OHIO PUBLIC WORKS COMMISSION DISTRICT 4 Round 2022-2023 Supplemental Questionnaire

Applicant: City of Dayton

Project Title: Findlay Street MRL-08 Outfall Improvements

Application Summary:

Briefly describe the project:

The project is needed to replace a critical storm system failure. The existing outfall consists of an 84 inch concrete storm pipe discharging to the Mad River, 100 feet to the west of N. Findlay Avenue. The concrete headwalls are spalling and cracking, and much of the concrete channel has broken off and washed away. The proposed project will rehabilitate the failing headwalls, channel and revetment.

Priority:

Is this application your priority project? (Circle One)					
Yes 🔘	No 💽				

Generation of Revenue:

Will new user fees or assessments be assessed as part of this project? (Circle One)						
Yes 🔘	No 💽					
What will the new user fees or assessments be used for?						
N/A						

Additional Funding:

Additional Funding:								
Will OPWC match, in part, a committed grant or loan? (Circle One)								
Yes No 💿								
If no, was the project submitted to an appropriate agency for funding, but denied due to lack of funding? (Circle One)								
Yes – Appropriate Documentation Attached 🔘	No 💽							

Readiness of Project:

Will this project be substantially underway on or before June 1, 2024? (Circle One)		
Yes 💽	No 🔘	

Health & Safety:

Describe the specific health or safety issue being addressed by this project. What deficiency or condition is causing the health or safety issue?
The concrete portion of the outlet channel has eroded, leaving only rebar visible. This structural failure is allowing water to wash out soil from underneath the headwalls, and causing the existing ground behind the headwall to sink, and escape under the headwall and into the channel. This creates a significant drop between the top of the headwall and the ground (see attached photos).
The Mad River Bike Trail passes within 10 feet of the outlet of the pipe. Citizens using the trail originally made the city aware of the sinking ground. The sinking ground undermines the strength of the headwall and could be a fall hazard, or result in damage to the bike trail.

Addresses District Infrastructure Needs:

Is this project located in more than one community? (Circle One)				
Yes 🔘		No 💽		
What percentage of the community will be served by this project? (Circle One)				
Less than 25% 💽	25% to 40%	More than 40%		

Economic Development

How many jobs are being created as a result of this project?	0		
How many jobs will be retained as a result of this project?	0		
Why is it necessary to fund this improvement to secure this development?			
N/A			
What type of industry is proposed in this development?			
N/A			

Relieve Existing Traffic Congestion:

What is the level of service? N/A	
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Other Factors

What other factors exist that make this project more important than other like projects?

The City of Dayton has very limited funds for storm water improvements. This is a critically needed rehabilitation project, that needs to be constructed as soon as possible. There are safety concerns due to the sinking of the ground behind the headwalls, as well as structural concerns.

Cracking and spalling of the concrete headwall indicates a need for replacement. Proximity to the Mad River Bike trail is a safety concern. Collapse of the structure could also mean collapse of a portion of the bike trail.

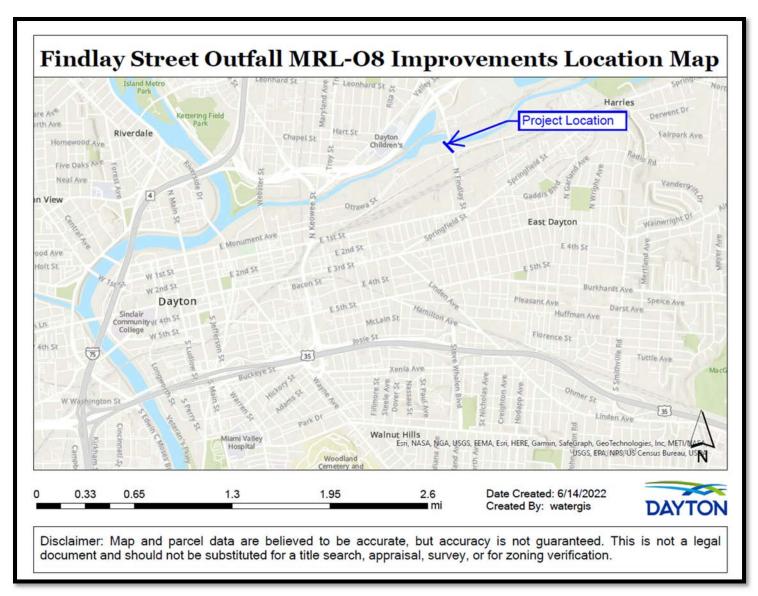


Figure 1- Findlay Street Outfall MRL-08 Improvements Location Map

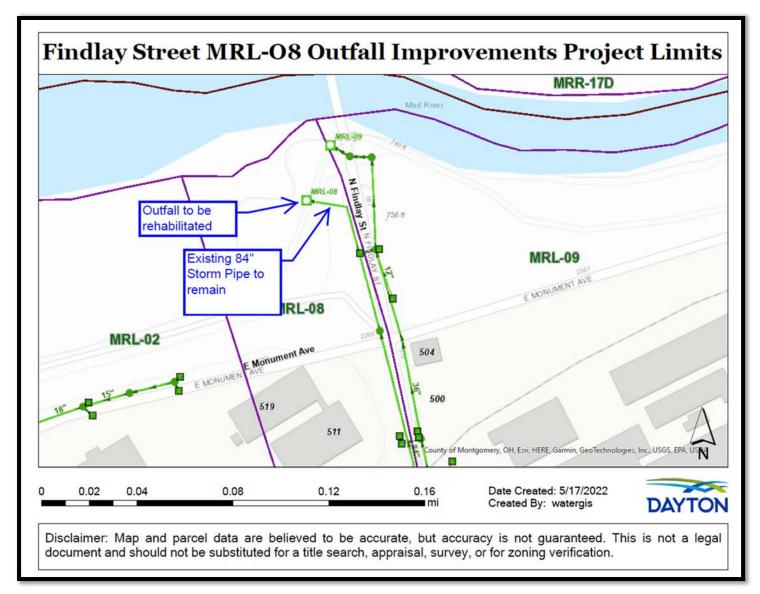


Figure 2 - Findlay Street MRL-08 Outfall Improvements Project Limits

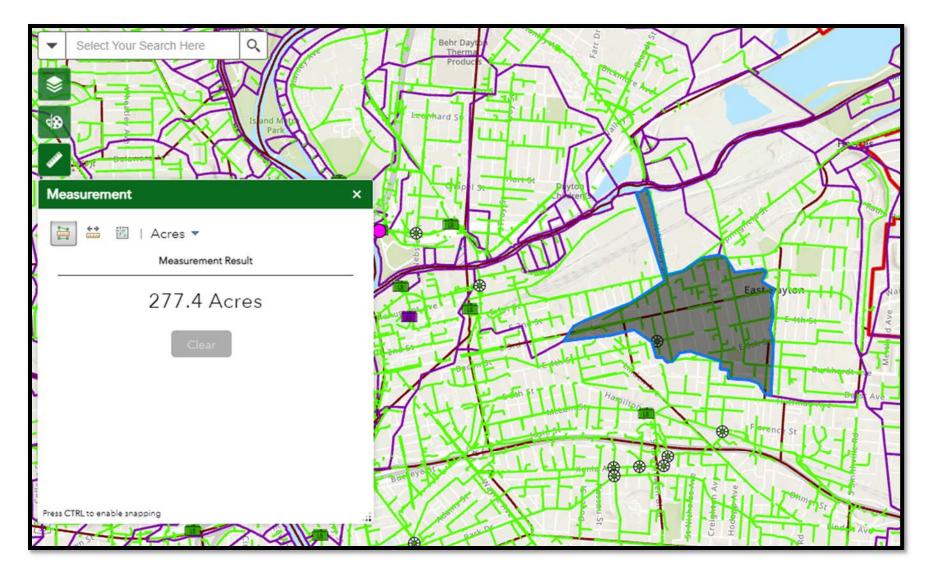


Figure 3 - Findlay Street MRL-08 Outfall Improvements Drainage Area

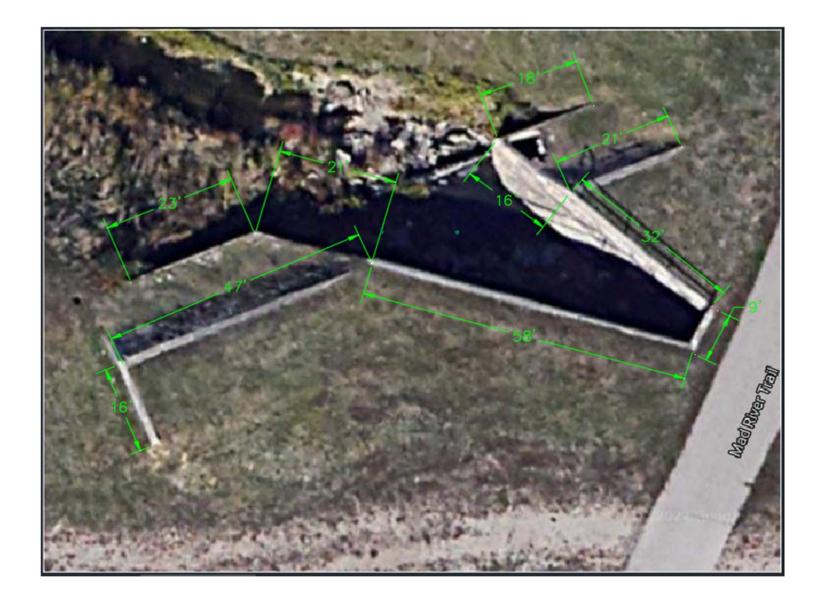


Figure 4 - Existing Outfall Dimensions



Figure 5 Taken Oct 1, 2021. The concrete channel has washed away allowing water to undermine the headwall



Figure 6 Taken Oct 1, 2021. Water is eroding soil under the headwall, creating a sinkhole condition on the back side of the headwall.



Figure 7 Taken May 2022. Maintenance crews have filled in the area behind the headwall, however erosion is still occurring



Figure 8 Taken May 2022. More erosion.



Figure 9 – Taken May 2022.